



04-21-06

IFW 3621 \$

PATENTS  
AAA-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : William K. Slate II et al.  
Application No. : 09/990,402  
Confirmation No. : 3669  
Filed : November 21, 2001  
For : ELECTRONIC SYSTEMS AND METHODS FOR  
DISPUTE MANAGEMENT  
Art Unit : 3621  
Examiner : Evens J. Augustin

New York, New York 10020  
April 19, 2006

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

EXPRESS MAIL CERTIFICATION

EXPRESS MAIL LABEL NO. EV669680732US

Date of Deposit: April 19, 2006.

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Enclosures:

1. Transmittal Letter (3 pp. - in duplicate);
2. Reply To Office Action (3 pp.);
3. Petition For Extension Of Time Pursuant To 37 C.F.R. § 1.136(a) (2 pp. - in duplicate); and
4. Return postcard.



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TRANSMITTAL LETTER

Sir:

Transmitted herewith: [ ] a Preliminary Amendment;  
[ ] Letter to Official Draftsperson; [X] a Reply to Office  
Action; [ ] Supplemental Information Disclosure Statement;  
[ ] a Declaration; [ ] a Power of Attorney; [ ] a Submission  
of Formal Drawings; [ ] formal drawings; to be filed in the  
above identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.  
[ ] A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE		ADDITIONAL FEES
TOTAL CLAIMS	168	-	177	* =	0	X	\$ 25	=	\$ 0.00
INDEPENDENT CLAIMS	12	-	12	** =	0	X	\$ 100	=	\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+	\$ 180	=	\$ 0.00
								TOTAL	\$ <u>0.00</u>

\* If less than 20, insert 20.

\*\* If less than 3, insert 3.

[ ] A check in the amount of \$\_\_\_\_\_ in payment of the additional claims is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ in payment of the filing fee.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 000604.0003. A duplicate copy of this transmittal letter is transmitted herewith.

#### EXTENSION FEE

[X] The following extension is applicable to the Response filed herewith; [X] \$60.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$225.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$510.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$795.00 extension fee for

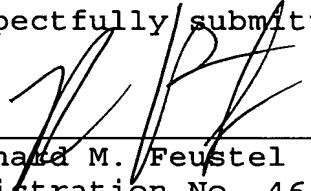
response within fourth month pursuant to 37 C.F.R.  
§ 1.136 (a); ☐ \$1080.00 extension fee for response  
within fifth month pursuant to 37 C.F.R. 1.136(a).

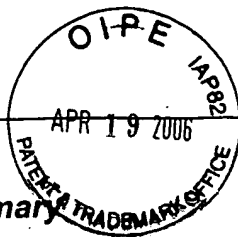
☐ A check in the amount of ☐ \$60.00; ☐ \$225.00;  
☐ \$510.00; ☐ \$795.00; ☐ \$1080.00; in payment  
of the extension fee is transmitted herewith.

☒ Please charge the ☒ \$60.00; ☐ \$225.00;  
☐ \$510.00; ☐ \$795.00; ☐ \$1080.00; extension  
fee to Deposit Account No. 06-1075, Order  
No. 000604.0003. A duplicate copy of this  
transmittal letter is transmitted herewith.

☒ The Director is hereby authorized to charge payment  
of any additional fees required under 37 C.F.R.  
§ 1.17 in connection with the paper(s) transmitted  
herewith, or to credit any overpayment of same, to  
Deposit Account No. 06-1075, Order No. 000604.0003.  
A duplicate copy of this transmittal letter is  
transmitted herewith.

Respectfully submitted,

  
\_\_\_\_\_  
Richard M. Feustel  
Registration No. 46,698  
Attorney for Applicants  
FISH & NEAVE IP GROUP  
ROPES & GRAY LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
(212) 596-9000



# Interview Summary

Application No:	Applicant(s)	
09/990,402	SLATE ET AL.	
Examiner	Art Unit	
Evens Augustin	3621	

All participants (applicant, applicant's representative, PTO personnel):

(1) Evens Augustin

(3) Rick Faustel

(2) Jim Reago

(4) \_\_\_\_\_

Date of Interview: 4/12/06

Type: a) ☐ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_

Claim(s) discussed: independent claims 1, 60, 119, 29, 83, 147

Identification of prior art discussed: Isig et al.

Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: no agreement on patentability was reached,

however, a non final action will be issued

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required